

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

RICHARD J. TESTA, JR., individually, and on
behalf of all others similarly situated,

Plaintiff,

- against -

MERRILL LYNCH, PIERCE, FENNER &
SMITH, INC., JOHN DOES 1-50 (said names
fictitious individuals), and ABC
CORPORATIONS 1-50 (said names being
fictitious companies, partnerships, joint ventures
and/or corporations,

Defendants.

07 CV 8320 (AKH)

CERTIFICATE OF SERVICE

Lisa Norman hereby declares and states:

1. I am not a party to this action, am over the age of eighteen years, and
reside in Brooklyn, New York.

2. I hereby certify that on November 29, 2007, I electronically filed the Fed.
R. Civ. P.7.1(a) Disclosure Statement of Defendant Merrill Lynch, Pierce, Fenner &
Smith Inc. and Answer of Defendant Merrill Lynch, Pierce, Fenner & Smith Inc. with the
Clerk of the District Court using the CM/ECF systems, which sent notification of such
filing to the following:

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I declare under penalty of perjury under the laws of the United States of America
that the foregoing is true and correct.

Executed on November 29, 2007, in New York, New York.

s/Lisa R. Norman
Lisa R. Norman